

IDENTIFICATION DOCUMENTATION

To comply with our obligations under anti-money laundering and counter-terrorism financing legislation, we must collect certain information about each investor. In some cases, this information must be supported by a 'certified copy' or 'certified extract' of an identification document.

A certified copy is a document that has been certified as a true and correct copy of an original document.

A certified extract is an extract that has been certified as a true copy of the relevant information contained in the original document. We can only accept the original certified copy itself; we cannot accept faxed or scanned versions of certified copies.

CERTIFYING DOCUMENTS

An originally certified copy is a copy of a document that has been certified as a true copy of an original document. To obtain an originally certified copy, present the original document and a photocopy of that document to one of the people listed below.

The person certifying the document will need to include the following information on the photocopy:

I certify that this is a true copy of the original document produced to me on [insert date, month and year].

[Signature of certifier]

[Full name of certifier] [Occupation, qualification or registration number (if any) of the certifier, which makes them eligible to certify documents]

If this certification does not appear, you may be asked for new certified documents.

Please note that the certification must be an original wet ink and cannot be provided by a family member.

The certification must be dated within the last 12 months.

Documents containing photographs

As well as providing the information noted above, certifiers and investors have additional responsibilities in relation to any documents that contain photographs of individuals.

- Certifier's responsibility

For any document containing a photograph of an individual, the certifier must be satisfied that the photograph is a true likeness of the individual named in that document.

- Investor's responsibility

By applying to invest in the Fund the investor (or agent for the investor if applicable) warrants that they have advised the certifier of the certifier's responsibility that for any document containing a photograph of an individual, the certifier is satisfied that the photograph is a true likeness of the individual named in that document.

WHO CAN CERTIFY COPIES?

LIST OF PERSONS (IN AUSTRALIA)

Occupations

- A person who, under a law in force in a State or Territory, is currently licensed or registered to practise in the following occupations:
 - Chiropractor
 - Dentist
 - Legal practitioner
 - Medical practitioner
 - Nurse
 - Optometrist
 - Patent attorney
 - Pharmacist
 - Physiotherapist
 - Psychologist
 - Trademarks attorney
 - Veterinary surgeon

Other Persons

- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- Chief executive officer of a Commonwealth Court
- Finance company officer with 2 or more years of continuous service
- Judge of a court
- Justice of the Peace
- Magistrate
- Marriage celebrant registered under Subdivision C of Division 1 of Part IV of the *Marriage Act 1961*
- Member of the Australian Defence Force who is:
 - an officer; or
 - a non-commissioned officer within the meaning of the *Defence Force Discipline Act 1982* with 2 or more years of continuous service; or
 - a warrant officer within the meaning of that Act.
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants

Other Persons (continued)

- Minister of religion registered under Subdivision A of Division 1 of Part IV of the *Marriage Act 1961*
- Notary public
- Permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- Permanent employee of:
 - the Commonwealth or a Commonwealth authority;
or
 - a State or Territory or a State or Territory authority;
or
 - a local government authority:
with 2 or more years of continuous service who is not otherwise specified in this list
- Police officer
- Registrar or Deputy Registrar, of a court
- Teacher employed on a full-time basis at a school or tertiary education institution
- a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia as a legal practitioner (however described)
- an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees

LIST OF PERSONS (OUTSIDE AUSTRALIA)

- Any individual from the list above or the following:
- Notary public (authorised in Australia or in a foreign country):
- Employee of the Australian Trade Commission who is:
 - in a country or place outside Australia and authorised under paragraph 3(d) of the *Consular Fees Act 1955*:
and
 - exercising his or her function in that place
- Employee of the Commonwealth who is:
 - in a country or place outside Australia; and authorised under paragraph 3(c) of the *Consular Fees Act 1955*;
and
 - exercising his or her function in that place
- Registered Legal practitioner (in equivalent jurisdiction);
and
- Chartered Accountant (in equivalent jurisdiction)

FOREIGN LANGUAGE DOCUMENTS

Where the documents are provided in a language other than English, it is necessary that English versions are provided by the client. All documents must be translated in their entirety by a professional body e.g. lawyer, legal translator or a member of staff from a MARQ entity.

- A translated document must be certified by the translator. The translator must confirm in writing on the translation:
- That it's a 'true and accurate translation of the original document'
- The date of the translation
- The full name and contact details of the translator or a representative of the translation company